

haemodynamics are the source of inflammatory and immune activation⁴, and that chronic hypoxia may result in endothelial production of free radicals and consequently leukocyte activation²⁰. The heart itself may be a source of immune activation by this hypothesis. A second asserts that immune activation is a consequence of exposure to exogenous antigen²¹.

The clinical problem of end-stage heart failure can be tackled by heart transplantation, and increasingly by the implantation of ventricular assist devices, usually as a bridge to transplantation^{22,23}. Problems with devices include thromboembolic events and possible systemic inflammatory responses related to blood contact with artificial surfaces²⁴. We have previously shown that the degree of complement activation is a function of the severity of cardiogenic shock prior to circulatory support, rather than an effect of surface activation²⁵.

In the present study we sought to describe the pattern of inflammatory response to the implantation of a ventricular assist device. The device improves the haemodynamic deficit of severe chronic heart failure, but without removing the heart as a potential source of immune activation. In addition, it adds a potentially potent extra source of immune activation in the shape of an extensive foreign surface. However, if there is significant spillover from cardiac cytokine production, unloading the heart with an assist device should cause long-term reduction in peripheral cytokine levels in survivors.

Despite these potentially potent sources of additional inflammation, and the effects of major surgery, we have found an initial reduction in indices of inflammation as evidenced by a fall in levels of tumour necrosis factor α , interleukin-6 and activated complement. These observations accord with previous work that suggested that left ventricular assist device implantation reduces the expression of myocardial tumour necrosis factor α ²⁶. Assist device implantation can have a profoundly depressant effect on T-cell function lasting up to 3 months²⁷. The fall in tumour necrosis factor α seen with mechanical assist is in contrast to what is seen with medical therapy for acute episodes of decompensation of chronic heart failure where levels do not fall quickly^{7,28}.

Why is there a gradual increase in indices of immune activation later during the course of the study? There is no sign that the patients are retaining fluid and becoming oedematous again. Equally, with the heart still supported by the assist device, it seems unlikely that the heart has started to secrete tumour necrosis factor α . It may be that there is low grade sepsis that we have not detected clinically, or it may reflect immunosuppression induced by surgery and illness, that is then subsequently wearing off. Inflammatory and immune activation may be independent of haemodynamic and functional changes.

We found no fall in the levels of CD14 and soluble tumour necrosis factor receptor; indeed there was a slight rise in CD14 over the period of the study. CD14 is thought to be representative of longer term exposure to endotoxin. The temporary fall in tumour necrosis factor α and interleukin-6 might be explained by a short-term

reduction in ischaemia as a result of an increase in cardiac output. In the longer term, tumour necrosis factor α increases again (and other inflammatory markers never change) because the pathophysiological process resulting in inflammation is not altered by assist device implantation, as suggested by the persisting CD14 level.

We considered the possibility that changes in plasma volume might influence cytokine levels, but we saw no change in haemoglobin or haematocrit (which was influenced by surgical management). Therefore we believe that plasma volume could only have had a minor influence. Nevertheless, the volume of distribution of cytokines in heart failure patients is not known. In view of the loss of weight in the first week after surgery, this may have influenced results; future studies will have to address this issue in detail.

Cardiopulmonary bypass circuits have been shown to be potent stimulants of an inflammatory response in the short-term^{29,30}, but we saw a fall in activated complement and elastase at 1 week. This is perhaps surprising in view of the pro-thrombotic stimulus represented by the support device. It might be that the stress of illness and surgery resulted in suppression of the immune response³¹. Nevertheless, complement levels were higher than seen in normal subjects (C3a <200 ng \cdot ml⁻¹, C5a <500 ng \cdot ml⁻¹). Elastase was reduced to within the normal range (<85 μ g \cdot ml⁻¹).

There is no currently available treatment specifically for increasing body weight in heart failure patients. Restoring cardiac output might have such an effect. We observed significant weight loss after the assist devices were implanted (6.5 kg, or 8.1% of body mass). This weight loss is probably due, at least in part, to reductions in body oedema, although we were not able specifically to test for this. It might be thought that greater pre-operative weight represents more fluid retention, and hence worse heart failure, but in this group of patients, a higher pre-operative weight conferred a better prognosis. This may reflect the known adverse effect of cachexia on prognosis³². The left ventricular assist device implantation did not seem to function as a specific anticachexia intervention, as suggested by the fact that there was a further (small) decline in body mass index by the 90 day follow-up.

Limitations

There is a possible confounder in the tumour necrosis factor α data in that the administration of heparin can enhance tumour necrosis factor production by monocytes³³. All our patients were still receiving heparin at the 1 week time point, suggesting that the intrinsic fall in tumour necrosis factor α may be being under-estimated. Monocytes are the main site of tumour necrosis factor α production and we have not measured differential white cell counts, nor have we measured left ventricular volumes, and cannot thus assess any effect that change in wall stress may have on immune activation.

Conclusions

Patients undergoing ventricular assist device implantation for severe congestive heart failure as a bridge to transplantation have evidence of inflammatory activation as demonstrated by raised levels of tumour necrosis factor α and its receptor, activated complement and elastase and CD14. The levels of tumour necrosis factor α , interleukin-6, C3a and elastase are all reduced by device implantation, although levels start to rise again between 1 week and 1 month after operation. In the short-term in this group of severely diseased patients, left ventricular assist device implantation has no anti-cachectic effect. Lower body mass index at the time of device implantation is a powerful predictor of poor outcome.

References

- [1] Levine B, Kalman J, Mayer L, Fillit HM, Packard M. Elevated circulating levels of tumor necrosis factor in severe chronic heart failure. *N Engl J Med* 1996; 333: 236-41.
- [2] Anker SD, Chua TP *et al*. Hormonal changes and metabolic anabolic imbalance in chronic heart failure: The importance for cardiac cachexia. *Circulation* 1997; 96: 526-34.
- [3] Anker S, Poonawalla P, Varney S *et al*. Wasting as an independent risk factor for mortality in chronic heart failure. *Lancet* 1997; 349: 1050-3.
- [4] Torre-Amione G, Kapadia S, Lee J *et al*. Tumor necrosis factor- α and tumor necrosis factor receptors in the failing human heart. *Circulation* 1996; 93: 704-11.
- [5] Bryant D, Becker L, Richardson J *et al*. Cardiac failure in transgenic mice with myocardial expression of tumor necrosis factor- α . *Circulation* 1998; 97: 1375-81.
- [6] Kubota T, McIntosh CP, Frye CS *et al*. Dilated cardiomyopathy in transgenic mice with cardiac-specific overexpression of tumor necrosis factor- α . *Circ Res* 1997; 81: 627-35.
- [7] Anker SD, Eggen K, Vok H-D, Kox WJ, Poole-Wilson PA, Coats AJS. Elevated soluble CD14 receptors and end-organ dysfunction in chronic heart failure. *Am J Cardiol* 1997; 79: 1425-30.
- [8] Niebauer J, Volk HD, Kemp M *et al*. Endotoxin and immune activation in chronic heart failure: a prospective cohort study. *Lancet* 1999; 353: 1834-42.
- [9] Ziegler-Helmreich U, Ulevitch RJ. CD14: Cell surface receptor and differentiation marker. *Immunol Today* 1993; 14: 121-3.
- [10] Shapiro SD. Elastolytic metalloproteinases produced by human mononuclear phagocytes. Potential roles in destructive lung disease. *Am J Respir Crit Care Med* 1994; 150: 8160-4.
- [11] Roesen GM, Pan S, Roesen CL, Cohen MS, Brillgas RH. Free radicals and phagocytic cells. *FASEB J* 1995; 9: 200-9.
- [12] McCarthy PM, Fortner PM, Tobler HG, Sarneck VA, Ramasamy N, Oyer PE. Clinical experience with the Novacor ventricular assist system. *J Thorac Cardiovasc Surg* 1991; 102: 578-87.
- [13] Rose EA, Levin HR, Frazier HO, Burton NA, Lefrak EA. Artificial circulatory support with textured inner surfaces. *Circulation* 1994; 90 (Suppl): D87-91.
- [14] Hetzer R, Heenig B, Schenker A, Friedel N, Warncke H, Adl M. Mechanical circulatory support and heart transplantation. *J Heart Lung Transplant* 1992; 11: 173-81.
- [15] Blum A, Sclarovsky S, Rehavia E, Shohat B. Levels of T lymphocyte subpopulations, interleukin 1 beta, and soluble interleukin 2 receptor in acute myocardial infarction. *Am Heart J* 1994; 127: 1226-30.
- [16] Kitz SD, Rao R, Brannan JW *et al*. Pathophysiological correlates of increased serum tumor necrosis factor in patients with congestive heart failure. Relation to nitric oxide dependent vasodilation in the forearm circulation. *Circulation* 1994; 90: 12-6.
- [17] Wiedemann CJ, Beinhold H, Harold M, Knapp E, Braunsteiner H. Increased levels of serum neopterin and decreased production of neutrophil superoxide anions in chronic heart failure with elevated levels of tumor necrosis factor alpha. *J Am Coll Cardiol* 1993; 22: 1897-901.
- [18] Haepfer D, Hummel M, Kieber FX, Reindl I, Volk HD. Systemic inflammation in patients with heart failure. *Eur Heart J* 1998; 19: 761-3.
- [19] Ferrari R, Bachetti T, Confortini R *et al*. Tumor necrosis factor soluble receptors in patients with various degrees of congestive heart failure. *Circulation* 1995; 92: 1479-86.
- [20] Ankrust P, Uehnd T, Multer F *et al*. Elevated circulating levels of C-C chemokines in patients with congestive heart failure. *Circulation* 1998; 97: 1136-43.
- [21] Anker SD, Clark AL, Kemp M *et al*. Tumor necrosis factor and steroid metabolism in chronic heart failure: possible relation to muscle wasting. *J Am Coll Cardiol* 1997; 30: 997-1001.
- [22] Niebauer J, Flinton C-D, Clark AL *et al*. Deficient insulin-like growth factor-I in chronic heart failure predicts altered body composition, anabolic deficiency, cytokine and neuro-hormonal activation. *J Am Coll Cardiol* 1998; 32: 393-7.
- [23] Henry C, Clark AL. Catabolism in chronic heart failure. *Eur Heart J* 2000; 21: 521-32.
- [24] Leyva F, Anker SD, Goddard JF *et al*. Uric acid in chronic heart failure: a marker of chronic inflammation. *Eur Heart J* 1998; 19: 1814-22.
- [25] Lorbe M, Henzig R, Muller J, Spiegelberger S, Wang Y, Hetzer R. Long-term mechanical circulatory support as a bridge to transplantation, for recovery from cardiomyopathy, and for permanent replacement. *Eur J Cardiothorac Surg* 1997; 11 (Suppl): S18-24.
- [26] Massad MG, McCarthy PM, Smolnik NG *et al*. Does successful bridging with the implantable left ventricular device affect cardiac transplantation outcome? *J Thorac Cardiovasc Surg* 1996; 112: 1275-83.
- [27] Khar C, Donijewand G, Bruck JJ. Role of the contact system in fibrinolysis. *Semin Thromb Hemost* 1987; 13: 50-68.
- [28] Loche M, Gorman E, Burger R, Gage JE, Harke C, Hetzer R. Complement activation in patients undergoing mechanical circulatory support. *ASAIO J* 1998; 44: M340-6.
- [29] Torre-Amione G, Stepon SI, Youker KA *et al*. Decreased expression of tumor necrosis factor-alpha in failing human myocardium after mechanical circulatory support: A potential mechanism for cardiac recovery. *Circulation* 1999; 100: 1189-93.
- [30] Ankersmit HJ, Tugules S, Spanier T *et al*. Activation-induced T-cell death and immune dysfunction after implantation of left-ventricular assist device. *Lancet* 1999; 354: 550-3.
- [31] Vanderheyden M, Karachut B, Paulus WJ. Pro-inflammatory cytokines and endothelium-dependent vasodilation in the forearm. Serial assessment in patients with congestive heart failure. *Eur Heart J* 1998; 19: 747-52.
- [32] Hammerichmidt DE, Stronczak DP, Bowers TK. Complement activation and neutropenia during cardiopulmonary bypass. *J Thorac Cardiovasc Surg* 1981; 81: 370-7.
- [33] Butler J, Chong GL, Beigle RJ. Cytokine response to cardiopulmonary bypass with membrane and bubble oxygenator. *Ann Thorac Surg* 1992; 53: 831-8.
- [34] Keel M, Schreinerberger N, Steckelzer U *et al*. Endotoxin tolerance after severe injury and its regulatory mechanisms. *J Trauma* 1996; 41: 430-7.
- [35] Helmreich M, Miller M, Platz A, Gordon LB, Herzig DO, Fulk HC. Heparin and enoxaparin enhance endotoxin-induced tumor necrosis factor-alpha production in human monocytes. *Ann Surg* 1998; 229: 542-50.